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(54) Title: PROTECTIVE OVERLAYS FOR THERMAL DYE TRANSFER PRINTS

(57) Abstract

A protective overlay comprising a transparent film of polymeric material having dispersed therein zinc oxide or surface stabilised titanium dioxide present in a preferred amount 1 to 400 % on weight of binder resin, the polymeric material preferably having a Tg of between 50 and 200 °C.

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#### PROTECTIVE OVERLAYS FOR THERMAL DYE TRANSFER PRINTS

This invention relates to protective overlays for printed matter and in particular to such overlays for use with printed matter produced by thermal transfer printing.

Thermal transfer printing is a process in which one or more thermally transferable 5 dyes are caused to transfer from selected areas of a dyesheet to a receiver by thermal stimuli, thereby to form an image. Using a dyesheet comprising a thin substrate supporting a dyecoat containing one or more uniformly spread dyes, printing is effected by heating selected discrete areas of the dyesheet while the dyecoat is pressed against a dye-receptive surface of a receiver sheet, thereby causing dye to transfer to corresponding areas of the receiver. The 10 shape of the image transferred is determined by the number and locations of the discrete areas which are subjected to heating. Full colour prints can be produced by printing with different coloured dyecoats sequentially in like manner, and the different coloured dyecoats are usually provided as discrete uniform panels arranged in a repeated sequence along a ribbon-shaped dyesheet. High resolution photograph-like prints can be produced by 15 thermal transfer printing using appropriate printing equipment, such as a programmable thermal print head or laser printer, controlled by electronic signals derived from a video, computer, electronic still camera, or similar signal generating apparatus. A typical thermal print head has a row of tiny selectively energizable heaters, spaced to print six or more pixels per millimetre, often with two heaters per pixel. Laser printers require absorbers to convert the 20 laser radiation to heat, usually in or under the dyecoat, and similarly produce the print by transferring dyes to the receiver pixel by pixel. The transfer mechanism is believed to depend very much on the conditions under which printing is carried out. Thus for example, when using a thermal head, the dyesheet and receiver are pressed together between the head and a platen roller, giving conditions favouring diffusion of the dyes from the dyesheet 25 directly into the receiver, virtually precluding any sublimation. Where a small gap is provided between the dyesheet and receiver, as favoured in some laser driven printers for example, the transfer mechanism appears to be exclusively sublimation. However, in both cases the dyes are mobile molecules which can diffuse into and out of the receiver when warmed, or in the presence of various lyophilic liquids. In particular, grease from a finger holding a print can 30 lead to migration of the dye to the surface, making the print seem dirty or causing smearing of the dyes, and plasticisers in plastic pouches can cause havor with unprotected D2T2 images. Particularly bad in this respect is dioctylphthalate, used as a plasticiser in polyvinyl chloride from which such pouches are commonly made. For many years various protective covers



have been proposed to protect thermal transfer prints against such effects as well as against abrasion.

In addition to the plasticiser type effects, thermal transfer prints are liable to be 5 affected by exposure to uv radiation as present for example in sunlight.

US Patent Specification No 4522881 discloses a protective overlay of 10µm thickness consisting of a polyester resin containing organic uv absorbers such as benzophenones and benzotriazoles in an amount of 0.2% by weight. However, a 10 µm thick overlay can, when applied, have edge problems, ie the edges can be jagged due to 10 incomplete cut-through and/or incomplete adhesion and ideally, the overlay should have a thickness of 2 to 5 µm. To achieve the same degree of uv absorbency, such thin overlays must contain a much greater quantity of the absorber and it has been found that at such quantity there is a deleterious effect on the property of the overlay as a barrier to plasticisers. Moreover, such UV absorbers are known to be prone to loss due to photochemical 15 consumption and can crystallise out resulting in migration to the surface causing an undesirable blooming effect.

According to one aspect of the invention there is provided a protective overlay comprising a transparent film of polymeric material having dispersed therein zinc oxide or surface stabilised titanium dioxide.

- The stabilisation of the surface of the titanium dioxide is important because the absorbance of uv radiation results in the formation on the surface of the particles of trivalent titanium ions linked to hydroxyl radicals which can further react to form highly reactive species which have an adverse effect on the photo-oxidative stability of the dyes in the printed image.
- The surface stabilisation may be carried out by any of the means well known in the art such as coating with alumina, silica or zirconium or mixtures thereof.

According to a preferred aspect of the invention, the polymeric material has a Tg of between 50 and 200°C.

Polyesters and acrylic polymers are particularly suitable as are the polymers 30 disclosed in WO-A- 96/14993 as overcoming the problem of microscopic cracks being formed in the overlay by excessive flexing of the print on which it is mounted.

The zinc oxide or titanium dioxide is preferably present in an amount of 1 to 400%, more preferably 25 to 100%, on weight of binder resin.

The overlay may have a thickness of 0.1 to 25µm, preferably 1 to 5µm.

Such a thin overlay is difficult to handle without some form of support. The overlay is, therefore, preferably mounted on a temporary carrier sheet to form a transfer foil. The carrier sheet is placed over the print and heat and pressure are applied to the sheet, for example by means of a hot roll laminator or a thermal head, to cause the overlay to adhere to the print. 5 The transfer can be effected simultaneously over the whole print, and the carrier is then removed after the transfer is complete. Alternatively, transfer may be progressive, e.g. using heated rollers or a thermal head to transfer the topcoat line by line, and it is then generally more convenient to remove the carrier progressively as it emerges from the rolls or thermal head.

According to a further aspect of the invention, there is provided a transfer foil comprising a carrier sheet and a thermally transferable overlay, the overlay comprising a transparent film of polymeric material having dispersed therein zinc oxide or surface stabilised titanium dioxide.

The carrier sheet must be formed of material able to withstand the transfer 15 temperatures. Paper can be used, but the thicker the sheet, the more transfer energy is required, and polymer films, such as PET film, typically less than 30 µm thick according to the manner in which the barrier composition is to be transferred is preferred. A carrier sheet of about 12 µm. thickness is suitable when using a hot roller laminator unit, but a heat-resistant back-coated film of 5-7 µm thickness is preferred when using a thermal head. To 20 assist in release of the cover material from a thermoplastic carrier sheet, the latter may be primed with a cross-linked resin, to prevent fusion between the carrier and the transferring overlay. Such primes, applied effectively in known manner, remain on the carrier as it is stripped off. Other coatings featuring one or more of the many known release agents or releasing binders, can be provided instead of or in addition to the cross-linked prime, but with 25 such materials there is a chance that at least some will transfer with the cover material. This can be undesirable in a number of applications, especially those requiring lamination of the print to a security cover sheet; in the passports, driving licences, medical cards and security passes referred to above, for example. In general, therefore, the transferable overlay is placed directly onto the primed surface of the carrier base sheet. The overlay can be 30 separate from the dyesheet used to prepare the image, although it is often convenient to have this packaged in a form which enables it to be used in the same apparatus as that which prints the image. To have the dyesheet ribbon and the overlay as separate entities, whether used in the same apparatus or not, enables a first printed image to be covered with overlay while a further image is being formed, thereby saving time. However, a preferred overlay is one

which is incorporated into a dyesheet ribbon, suitably that used to form the image, comprising a substrate supporting different coloured dyecoats provided as discrete uniform print-size panels arranged in a repeated sequence along the ribbon, the carrier sheet of the overlay being provided by a part of the dyesheet substrate between repeated sequences of the 5 dyecoat panels. Thus each sequence of print-size coloured dyecoats also has a further print-size panel of the thermally transferable overlay.

Although particularly useful for protecting D2T2 prints, the overlay of the invention may also be used in conjunction with silver halide prints.

The invention will be more readily understood from the following example.

### 10 Example

The following coating solutions were prepared by mixing binder, uv-absorber and solvent (ethyl methyl ketone) in a high shear mixer for 45 minutes:

- A: NEOCRYL B811 (12%) and UV-TITAN L181 (12%)
- B: VYLON GK640 (16.5%) and UV-TITAN L181(16.5%)
- 15 C: VYLON GK640 (22%) and UV-TITAN L530 (11%)
  - D: VYLON GK640 (22%) and UV-TITAN L230 (11%)
  - E: NEOCRYL B811 (24%) and P25 (5%)
  - F: NEOCRYL B811(19%) and CYASORB UV-24 (6%)
  - G: VYLON GK640 (20%)
- 20 H: NEOCRYL B811(20%)

NEOCRYL B811 ® is a poly methyl methacrylate of molecular weight 40,000 available from ICI.

VYLON GK640® is a polyester of molecular weight 20,000 available from Toyobo.

UV-TITAN L181, L230 and L530® are surface modified, ultrafine, titanium dioxides 25 having crystal size (in nm) of 20, 17-33 and 30 -35 respectively and specific surface area (in m2/g) of 60-75, 50-60 and 50-60 respectively, available from Kemira.

P25 is an ultrafine titanium dioxide having crystal size of 21 and specific surface area of 35-65 available from Degussa.

CYASORB UV-24® is a 2,2 dihydroxy-4-methoxybenzophenone available from 30 Cytec Industries.

6μm polyester film having a heat resistant back coat and a cross-linked subcoat was coated with the above formulations using a Meier bar to produce coatings having a wet thickness of approximately 12μm which were dried for 1 minute at 110° C to produce overlay samples.

Each sample was applied using the print head of a thermal transfer printer to a pre-printed test image on a thermal transfer printing receiver sheet (ICI Imagedata CP15), the image including a black area having an Optical Density of 1.0 (as measured on a Macbeth TR1224 Densitometer) formed by superpositioning of yellow, magenta and cyan dyes. After 5 peeling off the polyester film, the samples were subjected to the following tests for adhesion, image fading, barrier properties to dye transfer in the presence of a plasticiser and flashing (ie sharpness of the edges of the transferred overlay):

#### Adhesion

A strip of adhesive tape (3M "Magic Tape") is firmly adhered to the overlaid print and 10 then removed. The area of overlay remaining adhered to the print is expressed as a percentage of the total area initially covered by the tape.

#### Image Fading

The overlaid black area is subjected to 3-day accelerated lightfastness testing at 50% RH in a Ci35 Weatherometer (Atlas Electric Devices Company) using a Xenon arc lamp giving a total energy of 390 J/m2 and an irradiance of 1.5 W/m2 measured at 420nm. Image fading is expressed as the percentage reduction in optical density.

#### **Barrier Property**

The overlaid black panel is placed in contact with a transparent PVC sheet containing approximately 24% di-(2-ethyl hexyl) phthalate plasticiser and placed under a load of 1.2 kg for 20 60 hours at a temperature of 50° C. After allowing cooling to ambient temperature, the PVC sheet is removed and the degree of transfer of print to dye is assessed by examining the PVC sheet against a white surface.

#### Flashing

The edges (particularly the trailing edge) are examined for jaggedness and/or 25 non-adherence to the print.

The results of the tests are shown in the Table.

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# TABLE

Sample	Adhesion	Fading	Barrier	Flashing
Α	100	20	None	None
В	100	21	None	None
С	100	23	None	None
D	100	22	None	None
Е	100	56	None	None
F	100	18	Severe	None
G	100	45	None	Severe
Н	100	47	None	None
No Overlay	N/A	49	Severe	N/A

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#### **Claims**

- 1. A protective overlay comprising a transparent film of polymeric material having dispersed therein zinc oxide or surface stabilised titanium dioxide.
- 2. A protective overlay according to claim 1 in which the titanium dioxide is stabilised by 5 coating the surace with alumina, silica or zirconium or mixtures thereof.
  - 3. A protective overlay according to claim 1 in which the polymeric material has a Tg of between 50 and 200°C.
  - 4. A protective overlay according to claim 1 in which the zinc oxide or titanium dioxide is present in an amount of 1 to 400% on weight of binder resin.
- 10.5. A protective overlay according to claim 1 in which the zinc oxide or titanium dioxide is present in an amount of 25 to 100%, on weight of binder resin.
  - 6. A protective overlay according to claim 1 having athickness of 0.1 to 25µm.
- 7. A transfer foil comprising a carrier sheet and a thermally transferable overlay, the overlay comprising a transparent film of polymeric material having dispersed therein zinc oxide or 15 surface stabilised titanium dioxide.
- 8. A thermal transfer dye sheet ribbon comprising a substrate supporting different coloured dyecoats provided as discrete uniform print-size panels arranged in a repeated sequence along the ribbon, and a thermally transferable overlay comprising a transparent film of polymeric material having dispersed therein zinc oxide or surface stabilised titanium dioxide, 20 positioned between each repeated sequence of the dyecoat panels.

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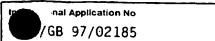
PCT/GB 97/02185 A. CLASSIFICATION OF SUBJECT MATTER IPC 6 B41M7/00 B41M B41M5/40 B41M5/34 According to International Patent Classification (IPC) or to both national classification and IPC **B. FIELDS SEARCHED** Minimum documentation searched (classification system followed by classification symbols) IPC 6 B41M Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) C. DOCUMENTS CONSIDERED TO BE RELEVANT Category ° Citation of document, with indication, where appropriate, of the relevant passages Relevant to claim No. US 5 387 573 A (M.C.S.OLDFIELD ET AL.) 7 Χ 1-8 February 1995 see column 2, line 1 - line 18 see column 2, line 54 - column 3, line 6 see column 6, line 28 - column 7, line 19 see claims 1-20 US 5 322 832 A (T.TAKEYAMA ET AL.) 21 June Х 1-7 1994 see column 2, line 41 - line 48 see column 3, line 36 - line 68 see column 14, line 17 - column 18, line 30; claims 1-6; figures 1-5 -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. X X I Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but \*A\* document defining the general state of the art which is not considered to be of particular relevance cited to understand the principle or theory underlying the invention "E" earlier document but published on or after the international \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or involve an inventive step when the document is taken alone which is cited to establish the publication date of another "Y" document of particular relevance; the claimed invention citation or other special reason (as specified) cannot be considered to involve an inventive step when the document is combined with one or more other such docu-"O" document referring to an oral disclosure, use, exhibition or ments, such combination being obvious to a person skilled in the art. \*P\* document published prior to the international filing date but \*&\* document member of the same patent family later than the priority date claimed Date of the actual completion of the international search Date of mailing of the international search report n 7. 11. 97 6 October 1997 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentiaan 2 A.S. Baun NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo ni, Bacon, A

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April 1988 see page 3, line 14 - page 4, line 18 see page 7, line 4 - line 9 see claims 1-4; examples 1,2  NL 8 901 256 A (JOH. ENSCHEDÊ EN ZONEN GRAFISCHE INRICHTING B.V.) 17 December 1990 see page 1, line 1 - line 4; claims 1-18 see page 1, line 22 - page 2, line 17	ategory °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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